

# A Study on Phenotypic Association between Biofilm and Drug Resistant *Klebsiella* Species Isolated from Urinary Tract Infections

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## ABSTRACT

**Introduction:** Urinary Tract Infections (UTI's) are considered to be one of the most prominent infections, worldwide. *Klebsiella* species are the commonest cause of community and hospital acquired UTIs. Biofilm production and hyper-mucous production are the important virulence factors among the pathogenic strains of *Klebsiella* species. Biofilm-associated and Hyper-Mucoviscosity (HMV) variants of *Klebsiella* spp. is potent enough to easily colonise the urinary tract, develop the invasiveness of infection and mainly contribute in higher resistance to most classes of antibiotics. Understanding the virulence factors associated with Multi Drug Resistant (MDR) *Klebsiella* spp. would aid in estimating the resistance related mortality.

**Aim:** To identify and comparatively analyse the HMV, biofilm-producing capacity and drug resistance pattern of archived *Klebsiella* spp. isolates from UTI.

**Materials and Methods:** The present retrospective analysis was done from January to March 2020 on 207 archived *Klebsiella* spp. isolates collected between December 2017 to January 2019. The presumptive identification of *Klebsiella* spp. was done using standard microbiological techniques. Antimicrobial susceptibility test was performed as per Clinical and Laboratory

Standards Institute (CLSI) guidelines. *Klebsiella* spp. were tested for biofilm production using microtiter plate method and HMV phenotype by string test. Descriptive statistics and Student's t-test were performed to analyse the data.

**Results:** A total of 207 *Klebsiella* isolates were used in this study and majority (94.6%) of the isolates were identified as *Klebsiella pneumoniae* subspecies *pneumoniae*. Out of 207 isolates, 14 isolates (6.8%) were Extensively Drug Resistant (XDR); 141 isolates (68.1%) were MDR; 43 isolates (20.8%) were resistant to one or two class of antibiotics. Biofilm detection assay showed 201 (97.1) out of 207 were strong biofilm producers and 6 (2.9%) were moderate biofilm producers. String test for HMV detection showed only 2 (1%) isolates were positive HMV producers. Among the biofilm producers, majority of the *Klebsiella* isolates were found to be MDR.

**Conclusion:** Resistance in *Klebsiella* spp. is an evolving problem. Majority of the drug resistant *Klebsiella* isolates used in present study were strong biofilm producers. This study emphasises on the sensible use of last resort drugs to cut down the evolution of resistant strains.

**Keywords:** Antibiotic resistance, Biofilm production, Hyper-mucoviscosity

## INTRODUCTION

The UTI is one of the most common and frequent bacterial infection in both the community and hospital setting worldwide and it is an important health problem in all age groups. UTIs account for more than 7 million visits to doctor's place and complicate over 1 million hospital admissions annually. Community Acquired (CA)-UTIs affect more than 150 million people annually while the prevalence of healthcare associated-UTIs ranges between 1.4- 5.1%. Members of the Enterobacteriaceae family are well-known for the cause of UTIs, predominantly *Escherichia coli* (*E. coli*) followed by *Klebsiella* and *Proteus* [1,2].

*Klebsiella* is a widely recognised opportunistic pathogen often acting as agents of bacteremia's, 6-17% UTIs, respiratory tract infections, gastrointestinal tract infections and wound infections, particularly in intensive care unit patients and immunocompromised patients [3]. Success of *K. pneumoniae* as a pathogen associated with both CA infections and hospital acquired infection has been recognised by the World Health Organisation (WHO), due to the major factor that it can confer high-tolerance level of antibiotic resistance [4]. Carbapenems and colistin are the last resort drugs, while fosfomycin and tetracycline are used as alternative drug options and spread of resistance to these antibiotics further increases the concern [5,6]. *K. pneumoniae* isolates have been associated with hyper

production of capsular polysaccharide i.e., HMV phenotype which further confers resistance to serum complement and phagocytosis by white blood cells [7]. *K. pneumoniae* is also known for its capability to form biofilms, that supports the bacterial attachment to biotic and abiotic surfaces, protecting antibiotics penetration and reducing its effects [8,9].

Prevalence of virulent and XDR *Klebsiella* is increasing gradually in our setting which is a significant global burden of public health. The increase in resistance of *Klebsiella* spp. is leading to doubtfulness of drug of choice. This emergence of drug resistance can lead to end of antibiotic era. Thus, the aim of the study was to understand, analyse, compare the phenotypic dynamics of antibiotic resistance and virulence in special reference to biofilm and HMV of *Klebsiella* spp. in Chennai, Tamil Nadu, India.

## MATERIALS AND METHODS

The present retrospective study included 207 archived *Klebsiella* spp. isolates which were collected from UTI patients and maintained at the Department of Microbiology, Dr. ALM PG IBMS, University of Madras, Tamil Nadu, India, were included in the study and were stored and maintained at -20°C between December 2017 to January 2019. Retrospective analysis of these archived isolates was performed during January 2020 to March 2020.

The isolates were revived in Macconkey agar and the colonies were subjected to gram staining and biochemical tests to confirm the *Klebsiella* spp.

### Antimicrobial Susceptibility Testing

All the isolates were subsequently subjected to antimicrobial susceptibility testing by Kirby-Bauer disc diffusion method [10]. Extended Spectrum  $\beta$ -Lactamase (ESBL) resistance was detected using ceftazidime (30  $\mu$ g), aztreonam (30  $\mu$ g), cefotaxime (30  $\mu$ g), ceftriaxone (30  $\mu$ g) discs for screening, followed by confirmatory test using ceftazidime (30  $\mu$ g) and ceftazidime/clavulanic acid (10/5  $\mu$ g) discs. The imipenem-EDTA Combined Disc Test (CDT) was performed to confirm carbapenem resistance among the study isolates, while AmpC lactamases resistance was detected using cefoxitin (30  $\mu$ g) [11]. Resistance to fluoroquinolones was detected using discs of nalidixic acid (30  $\mu$ g), ciprofloxacin (5  $\mu$ g), norfloxacin (10  $\mu$ g), ofloxacin (5  $\mu$ g), and levofloxacin (5  $\mu$ g). Gentamicin (10  $\mu$ g) and amikacin (30  $\mu$ g) discs were used to detect resistance to aminoglycosides [11]. The isolates were also tested against other antibiotics like ampicillin (10  $\mu$ g), piperacillin (100  $\mu$ g), co-trimoxazole (1.25/23.75  $\mu$ g), meropenem (10  $\mu$ g), cefepime (30  $\mu$ g), azithromycin (15  $\mu$ g), fosfomycin (200  $\mu$ g), tetracycline (30  $\mu$ g) and chloramphenicol (30  $\mu$ g). *E. coli* (American Type Culture Collection (ATCC) 25922) and *Klebsiella* (ATCC 700603) were used as control strains. The inhibition zones were measured, and results were interpreted according to the CLSI guidelines [11].

### Detection of HMV Phenotype using String Test

The HMV phenotype was determined using a modified string test. *Klebsiella* isolates was cultured on MacConkey agar and the 24 hours culture of *Klebsiella* obtained were touched with an inoculation loop and then the loop was lifted from the agar surface. Strains were defined as HMV if there was formation of a viscous string longer than 5 mm [7].

### Biofilm Detection Assays

The biofilm producing capacity of the *Klebsiella* isolates was determined by Congo Red Agar (CRA) method and tissue culture plate method. The qualitative detection of biofilm production was performed by CRA method. Briefly, the *Klebsiella* isolates were inoculated in the CRA medium, composed of brain heart infusion broth (37 gm/L), sucrose (5 gm/L), agar number 1 (10 gm/L) and Congo red dye (0.8 gm/L). Plates were incubated at 37°C for 24 to 48 hours aerobically. Black colonies with a dry crystalline consistency indicated biofilm production [Table/Fig-1].



**[Table/Fig-1]:** Black crystalline biofilm production by standard control strain of *E. coli* in Congo Red Agar (CRA) medium.

Tissue culture plate method, which is a quantitative, gold-standard method for biofilm detection was performed for all the *Klebsiella* isolates. Briefly, 1  $\mu$ L of overnight culture was inoculated into 100  $\mu$ L

of fresh Luria-Bertani (LB) broth in each well of a 96-well polystyrene plate. Negative control wells contained uninoculated sterile broth. After 5-24 hours of static incubation at 37°C, non-adherent bacteria were removed by gentle tapping and the wells were washed gently three times with 200  $\mu$ L of Phosphate Buffer Saline (PBS) (pH 7.2). The bacteria were then stained with 200  $\mu$ L of 0.1% crystal violet for one hour. The supernatant was discarded, and plates were washed with 200  $\mu$ L of PBS to remove unattached cells. The biofilm-bound dye was then eluted with 200  $\mu$ L of 70% acetic acid, and the optical density at 595 nm (OD<sub>595</sub>) was determined. The suitable positive control was used. Each assay was performed in triplicate. Based on the adherence capabilities, the strains were classified as given in [Table/Fig-2] [9].

Average OD value	Biofilm production
OD <sub>595</sub> ≤ OD <sub>c</sub> *	Nil
OD <sub>c</sub> < OD ≤ 2 × OD <sub>c</sub>	Weak
2 × OD <sub>c</sub> < OD ≤ 4 × OD <sub>c</sub>	Moderate
4 × OD <sub>c</sub> < OD	Strong

**[Table/Fig-2]:** Formula to interpretate biofilm production.

\*OD<sub>c</sub>-OD cut-off-Three standard deviations above the mean OD of the negative control

### STATISTICAL ANALYSIS

The data obtained by quantitative biofilm assay was analysed by Student's t-test using the online statistical software, GraphPad QuickCalcs. The results were expressed in the form of mean ± SD (Standard Deviation). The p-values < 0.05 were considered to be statistically significant.

### RESULTS

**Bacterial strains:** Among the 207 *Klebsiella* isolates, 196 (94.6%) isolates were *Klebsiella pneumoniae* subspecies *pneumoniae*, 2 (1.0%) isolates were *Klebsiella oxytoca*, 2 (1.0%) isolates were *Klebsiella aerogenes* and 7 (3.4%) belonged to *Klebsiella pneumoniae* subspecies *ozaenae*.

**Drug resistance:** Out of 207 isolates included in the study, 14 isolates (6.8%) were XDR; 141 isolates (68.1%) were MDR; 43 isolates (20.8%) were resistant to only one or two classes of antibiotic, while 9 isolates (4.3%) were totally susceptible. ESBL production was observed in 159 (76.8%) of the isolates. Amp-C lactamases were detected in 141 (68.1%) of the isolates. By CDT, carbapenem resistance was detected in 74 (35.7%) of the isolates.

Resistance to cotrimoxazole, cefepime and azithromycin was observed in 147 (71%), 159 (76.8%) and 165 (79.7%) of the *Klebsiella* isolates respectively. Highest sensitivity was observed for chloramphenicol 112 (54.1%), followed by fosfomycin 108 (52.2%). The [Table/Fig-3] summarises the antibiotic profile of *Klebsiella* isolates used in this study.

Eighty-one different combinations of drug resistance patterns were observed among the 207 *Klebsiella* isolates included in the study (supplementary). Combination 19 (C19) was the most frequently observed combination with 14 (6.8%) isolates belonging to XDR category, followed by C60 and C67 with 13 (6.3%) and 11 (5.3%) of the isolates belonging to MDR category respectively. The details of other drug resistance combination patterns are shown in [Table/Fig-4].

**String test:** Out of 207 isolates, only 2 (1.0%) isolates showed the HMV phenotype [Table/Fig-5].

**Biofilm detection:** In biofilm detection by CRA method, none of the isolates showed black crystalline formation.

In titre plate method, based on the OD<sub>c</sub> cut-off=0.048, 201 (97.1%) of the *Klebsiella* isolates were found to be strong biofilm producers (mean OD=0.88±1.06) and 6 (2.9%) of the isolates showed moderate biofilm formation (mean OD=0.17±0.02) [Table/Fig-6].

Of the 201 strong biofilm producers, 13 (6.5%) were XDR strains (mean OD=0.43±0.18), 138 (68.6%) were MDR strains, 41 (20.4%)



and ciprofloxacin. Similar results have been documented in other studies [21-23]. This could be due to the fact that these antibiotics have been used as first line drugs for UTI for many years, which has resulted in greater resistance in the community.

ESBL producers are more often implicated in UTIs, with prolonged duration of hospital stay, increased cost of hospitalisation and high mortality rate associated with ESBL-producing *Klebsiella* spp [24]. In present study, ESBL production was seen in 76.8% of the *Klebsiella* isolates which was very high when compared to other studies [21,25,26].

Carbapenems are the first choice of treatment for infections caused by *Klebsiella* strains producing ESBL and Amp-C enzymes [27-29]. The emergence of carbapenem-resistant *K. pneumoniae* has become a global threat. The results of this study showed that 35.7% of the *Klebsiella* isolates were carbapenem resistant, which was inconsistent with a previous study from South India [21].

String test revealed that only two isolates belonged to the HMV phenotype. This was in contrast to the results obtained by Vuotto C et al., wherein none of the *Klebsiella* spp isolates were classified as HMV, despite producing moist mucoid colonies [30].

It has been reported that the CRA method has very poor sensitivity in detecting biofilm when compared with the tissue culture plate method [8]. Similarly, in the present study none of the isolates were found to produce exopolysaccharide by CRA, while all the 207 isolates were found to be biofilm producers by tissue culture plate method. CRA method was not recommended for biofilm detection by Knobloch JK et al., in their study [31].

Biofilm producing organisms are considered to be intrinsically more resistant to antimicrobial agents. In the present study, 97.1% of the *Klebsiella* spp isolates were strong biofilm producers. However, no significant association was found between antibiotic resistance and biofilm forming ability, contradicting the results of previous reports [30-32]. In support of present study findings, Nirwati H et al., observed no association between *K. pneumoniae* MDR strains and biofilm production based on statistical analysis [33].

This study emphasises on the judicious use of last line drugs and provides adequate knowledge about the current trends in susceptibility patterns of urinary *Klebsiella* spp. isolates and also confers in-depth phenotypic correlation between drug resisting and biofilm producing capacity among urinary *Klebsiella* spp. isolates.

### Limitation(s)

The present study focused on the phenotypic correlation in association in depth without any molecular analysis and a detailed molecular level research has to be conducted further to understand insights of the biofilm-associated antimicrobial resistance.

### CONCLUSION(S)

In this study, majority of the *Klebsiella* strains were resistant to most classes of the drugs and resistance profile was found to be high with ESBL production. In this study, there was high incidence of MDR *Klebsiella* spp and majority of MDR *Klebsiella* isolates were strong biofilm producers. Hence, biofilm may be one of the important factors for the drug resistance among the *Klebsiella* species causing UTI.

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